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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Patrick N. Gilles et al.

Serial No.: 09/727,030

Filed: November 30, 2000

For: SINGLE NUCLEOTIDE
POLYMORPHIC DISCRIMINATION BY
ELECTRONIC DOT BLOT ASSAY ON
SEMICONDUCTOR MICROCHIPS

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

INFORMATION DISCLOSURE STATEMENT

Box Non-Fee
Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO-1449 provides a listing of documents that may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form 1449, initial the left-most column of the form adjacent each cited reference, and return a copy for

OC-75342.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Lynne Fulmer

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Signature of Person Mailing Paper

Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

In accordance with §1.97(d), this Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits of the above-identified application, and therefore no fee is required. If a first Office Action has been mailed, then please enter this Information Disclosure Statement and charge Lyon & Lyon's Deposit Account No. **12-2475** for any necessary fees.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

Respectfully submitted,

LYON & LYON LLP

Dated: February 28, 2001

By: 

Patrick S. Eagleman
Reg. No. 44,665

PSE/ktl

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(949) 567-2300

Enclosures: 36 Prior Art References

FORM PTO-1449

LIST OF PATENTS AND OTHER INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

259/163

SERIAL NO.

09/727,030

APPLICANT

Patrick J. Gilles, et al.

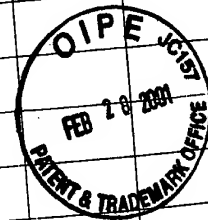
FILING DATE:
11/30/2000

GROUP:

Not Yet Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILI DA
	AA	3,950,738 ✓	4/1976	Hayashi et. al.			
	AB	3,995,190 ✓	11/1976	Salgo			
	AC	4,283,773 ✓	8/1981	Doughton et al.			
	AD	4,563,419 ✓	1/1986	Ranki, et al.			
	AE	4,580,895 ✓	4/1986	Patel			
	AF	4,584,075 ✓	4/1986	Goldstein et al.			
	AG	4,594,135 ✓	6/1986	Goldstein			
	AH	4,751,177 ✓	6/1988	Stabinsky			
	AI	4,787,963 ✓	11/1988	MacConnell			
	AJ	4,807,161 ✓	2/1989	Comfort et al.			
	AK	4,816,418 ✓	3/1989	Mack et al.			
	AL	4,822,566 ✓	4/1989	Newman			
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	AT	5,125,748 ✓	6/1992	Bjornson et al.			
	AU	5,126,022 ✓	6/1992	Soane et al.			
	AV	5,143,854 ✓	9/1992	Pirung et al.			
	AW	5,164,319 ✓	11/1992	Hafemann et al.			



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Information Disclosure Statement - Section 9 PTO-1449

**LIST OF PATENTS AND OTHER REFERENCES FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT**

APPLICANT

Patrick N. Gilles, et al.

FILING DATE:

11/30/2000

GROUP:

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(Use several sheets if necessary)

	AX	5,166,063 ✓	11/1992	Johnson			
	AY	5,200,051 ✓	4/1993	Cozzette et al.			
	AZ	5,202,231 —	4/1993	Drmanac et al.			
	BA	5,219,726 ✓	6/1993	Evans			
	BB	5,227,265 ✓	7/1993	DeBoer et al.			
	BC	5,234,566 ✓	8/1993	Osman et al.			
	BD	5,242,797 ✓	9/1993	Hirschfeld			
	BE	5,304,487 ✓	4/1994	Wilding et al.			
	BF	5,312,527 ✓	5/1994	Mikkelsen et al.			
	BG	5,433,819 ✓	7, 1995	McMeen			
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	BK	5,464,517 ✓	11/1995	Hjertén et al.			
	BL	5,468,646 ✓	11/1995	Mattingly et al.			
	BM	5,516,698 ✓	5/1996	Begg et al.			
	BN	5,527,670 ✓	6/1996	Stanley			
	BO	5,552,270 ✓	9/1996	Khrapko et al.			
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	BQ	5,605,662 ✓	2/1997	Heller et al.			
	BR	5,632,957 ✓	5/1997	Heller et al.			
	BS	5,653,939 ✓	8/5/1997	Hollis et al.			
	BT	5,660,701 ✓	8/26/1997	Grushka et al.			
	BU	5,681,751 ✓	10/1997	Begg et al.			
	BV	5,750,015 ✓	5/1998	Soane et al.			



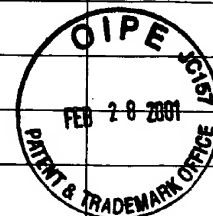
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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 259/163	SERIAL NO. 09/727,030
	APPLICANT: Patrick N. Gilles, et al.	
	FILING DATE: 11/30/2000	GROUP: Not Yet Assigned

	BW	5,770,365 ✓	6/1998	Lane et al.			
	BX	5,780,233 ✓	7/1998	Guo et al.			
	BY	5,849,489 ✓	12/1998	Heller			
	BZ	5,853,993 ✓	12/1998	Dellinger et al.			
	CA	6,013,166 ✓	1/2000	Heller			
	CB	6,017,696 ✓	1/2000	Heller			
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	CD	6,051,380 ✓	4/2000	Sosnowski et al.			
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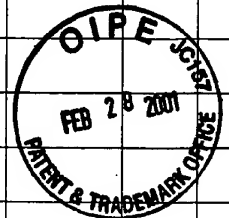


FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
	CI	0228075	8/1987	EP (Dattagupa et al.)			YES	NO
	CJ	2247889	3/1992	GB (Stanley)				
	CK	WO95/07363	3/1995	PCT (Konard)				
	CL	WO90/01564	2/1990	PCT (Adams et al.)				
	CM	WO89/01159	2/1989	PCT (Cornell et al.)				
	CN	WO93/22678	11/1993	PCT (Hollis)				
	CO	WO86/03782	7/1986	PCT (Malcom et al.)				
	CP	WO 89/10977	11/1989	PCT (Southern)				
	CQ	WO88/08528	11/1988	PCT (Stanbro, et al.)				
	CR	WO92/04470	3/1992	PCT (Stanley)				

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	CS	WO98/28444	7/1998	PCT (Mirzabekov, et a.)				
	CT	WO97/12030	4/1997	PCT (Heller, et al.)				
	CU	WO98/51819	9/1999	PCT Sosnowski				
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	CW	WO96/01836	1/1996	PCT (Heller et al.)				
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DM	Bains, "Setting a Sequence to Sequence a Sequence," <u>Bio/Technology</u> , 10:757-758 (1992)	
DN	Barinaga, "Will 'DNA Chip' Speed Genome Initiative?," <u>Science</u> , 253:1489 (1991)	
DO	Beattie et al., "Genosensor Technology," <u>The 1992 San Diego Conference: Genetic Recognition</u> , pp 1-5 (Nov. 1992)	

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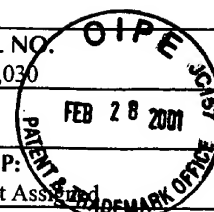
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DQ	Brown et al. "Electrochemically Induced Adsorption of Radio-Labelled DNA on Gold and HOPG Substrates for STM Investigations." <u>Ultramicroscopy</u> , 38 1991, 253-264
DR	Conner et al., "Detection of Sickel Cell β^3 Globin Allele By Hybridization With Synthetic Oligonucleotides," <u>Proc. Natl. Acad. Sci. USA</u> , 80:278-282 (1983)
DS	Drmanac et al., "Sequence of Magabase Plus DNA by Hybridization : Theory of the Method," <u>Genomics</u> , 4:114-128 (1989)
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DV	Fodor et al., "Multiplexed Biochemical Assays With Biological Chips," <u>Nature</u> , 364:555-556 (1993)
DW	Fodor et al., "Light-Directed, Spatially Addressable parallel Chemical Synthesis," <u>Science</u> , 251: 767-773 (1992)
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EC	Mathews, Kricka. "Analytical Strategies For The Use of DNA Probes." <u>Analytical Biochemistry</u> , 169, 1988, 1-25
ED	Palecek. "New Trends in Electrochemical Analysis of Nucleic Acids." <u>Bioelectrochemistry and Bioenergetics</u> , 20, 1988, 179-194
EE	Ranki et al., "Sandwich Hybridization as a Convenient Method for the Detection of Nucleic Acids in Crude Samples," <u>Gene</u> , 21:77-85 (1983)
EF	Saiki, "Amplification of Genomic DNA," <u>PCR Protocols: A Guide to Methods and Applications</u> , (Academic Press, Inc. 1990), pp 13-20
EG	Southern et al., "Analyzing and Comparing Nucleic Acid Sequences by Hybridization to Arrays of Oligonucleotides Evaluation Using Experimental Models," <u>Genomics</u> , 13:1008-1017 (1992)
EH	Sosnowski et al. "Rapid determination of single base mismatch mutations in DNA hybrids by direct electric field control," <u>Proc. Natl. Acad. Sci. USA</u> Vol. 94, pp. 1119-1123, February 1997 Biochemistry
EI	Strezoska et al., "DNA Sequencing by Hybridization: 100 Bases Read by a Non-Gel Based Method," <u>Proc. Natl. Acad. Sci. USA</u> , 88:10089-93 (1991)
EJ	Wallace et al., "Hybridization of Synthetic Oligodexribonucleotides to ϕ x 174 DNA: The Effect of Single Base Pair Mismatch," <u>Nucleic Acid Res.</u> , 6:3543-3557 (1979)
EK	Washizu, "Electrostatic Manipulation of Biological Objects," <u>Journal of Electrostatics</u> , 25:109-123 (1990)
EL	Washizu and Kurosawa, "Electrostatic Manipulation of DNA in Microfabricated Structures," <u>IEEE Transactions on Industry Applications</u> , 26:1165-1172 (1990)

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